JC03 Rec'd PCT/PTO 2.0 SEP 2005

Approved for use through 3/31/2007, OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE U.S. DEPARTMENT OF COMMERCE Inder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ATTORNEY 'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 035967-017 DESIGNATED/ELECTED OFFICE (DO/EO/US) , see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED March 17, 2004 March 20, 2003 PCT/KR2004/000577 TITLE OF INVENTION Massive Synthesis Method of Double-Walled Carbon Nanotubes Using the Vapor Phase APPLICANT(S) FOR DO/EO/US LEE, Cheol Jin Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. X is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. Tare attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. c. _ have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. \square 13. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 14. \square 15. A substitute specification. A power of attorney and/or change of address letter. 16. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 17. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 18.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).

JC20 Rec'd PET/PTO 2 0 SEP 2005 (Rev. 07-2005)
Approved for use through 3/31/2007, OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known sees 37 CFR 1.5) INTERNATIONAL APPLICATION NO. PCT/KR2004/000577				ATTORNEY'S DOCKET NUMBER 035967-017					
20. Other items or information: PCT Request; PCT/IB/304; PCT/IB/301; IPCT/SA/220; PCT/ISA/210; PCT/ISA/237; WO2004/083113A1; PCT/IB/308; PCT/IB/332; PCT/IPEA/408; PCT/IPEA/416; PCT/IPEA/409									
The following fees have been submitted:						ulations	PTO use only		
21. Sasic national fee (37 CFR 1.492(a))\$300						300	•		
22. Examination fee If the written opinion prep by IPEA/US indica All other situations	tes all claims sat	\$.200						
23. Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)									
TOTAL OF 21, 22 and 23 =						900			
Additional fee for s listing in compliand medium) (37 CFR The fee is \$250 for	e with 37 CFR 1. 1.492(j)).								
Total Sheets E	extra Sheets		ch additional 50 or fraction up to a whole number)	RATE					
- 100 =	/50 =			x \$250	\$		·		
Surcharge of \$130.00 for after the date of commend	furnishing any of cement of the na	\$							
CLAIMS	NUMBE	ER FILED	NUMBER EXTRA	RATE					
Total claims		6 - 20 =	0	x \$50	\$	0			
Independent claims		1 - 3 =	0	× \$200 + \$360	\$ \$	0	,		
MULTIPLE DEPEND	ENT CLAIM(S) (I	\$	900						
TOTAL OF ABOVE CALCULATIONS = Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.						450			
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 172. SUBTOTAL =						450			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). + \$									
		\$. 450							
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						\$			
TOTAL FEES ENCLOSED =						450			
					Amount f		\$		
					Amount to charged:	to be	\$		

, *				PTD-1390 6Re ±97 2605) Approved for use through 3/31/2007, OMB 0651-0021			
	Under the Paperwork Reduction	Act of 1995, no p	ersons are required to respond t	U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE to a collection of information unless it displays a valid OMB control number.			
a. 🔲	A check in the amount of \$	to cover the above fees is en		1998 0 PENTO 20 SEP 2005			
b. 🛭	Please charge my Deposit Account No. A duplicate copy of this sheet is enclosed.	50-1698	in the amount of \$	to cover the above fees.			
с. 🛛	The Commissioner is hereby authorized to cloverpayment to Deposit Account No.	charge any add		e required, or credit any y of this sheet is enclosed.			
d. 🗌	The state of the s						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.							
Rob The P.O San T: 4	ALL CORRESPONDENCE TO: bert E. Krebs elen Reid & Priest LLP D. Box 640640 n Jose CA 95164-0640 408.292.5800 408.287.8040			Robert E. Krebs NAME 25,885 REGISTRATION NUMBER			
	•						
•							

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

LEE, Cheol Jin

SERIAL NO.:

unassigned

FILING DATE:

herewith

TITLE:

Massive Synthesis Method of Double-Walled Carbon Nanotubes

Using the Vapor Phase

EXAMINER:

UNASSIGNED

ART UNIT:

UNASSIGNED

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

PATENT PRACTITIONERS TO BE MADE OF RECORD

Please recognize the following patent practitioners in the attached Declaration and Power of Attorney as being of record in the application or patent to which the power of attorney is directed:

Robert E. Krebs, Reg. No. 25,885

Yukiko Maekawa, Reg. No. 50,307

Marc S. Hanish, Reg. No. 42,626

Khaled Shami, Reg. No. 38,745

John P. Schaub, Reg. No. 42,125

Adrienne Yeung, Reg. No. 44,000 William Winters, Reg. No. 42,232

Masako Ando, (37 C.F.R.§10.9 (b))

Hal J. Bohner, Reg. No. 27,856

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1698.

Respectfully submitted, THELEN REID & PRIEST LLP

Robert E. Krebs, reg. no. 25,885

Dated:

THELEN REID & PRIEST LLP

P.O. Box 640640

San Jose, CA 95164-0640 Telephone: (408) 292-5800

Fax: (408) 287-8040